

JC545 U. S. PTO  
10/28/99

Please type a plus sign (+) inside this box → 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))*

Attorney Docket No. AUS990339US3

First Inventor or Application Identifier Peter C. Bahrs, et al.

Title See 1 in Addendum

Express Mail Label No. EL356873081US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \* Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Specification [Total Pages 239]
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 136]
4. Oath or Declaration [Total Pages 9]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
*(for continuation/divisional with Box 16 completed)*
    - i.  DELETION OF INVENTOR(S)  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).*

\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of  
*(when there is an assignee)*  Attorney
9.  English Translation Document (*if applicable*)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Statement (IDS)/PTO-1449  Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
  - \* Small Entity  Statement filed in prior application  
Statement(s)  Status still proper and desired  
(PTO/SB/09-12)
13.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
14.  Other: .....
15.  Other: .....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 09/366,404

Prior application information: Examiner Unknown

Group / Art Unit: 2731

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label   or  Correspondence address below

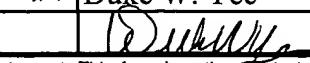
*(Insert Customer No. or Attach bar code label here)*

Name	Duke W. Yee Carstens, Yee & Cahoon, LLP				
Address	PO Box 802334				
City	Dallas	State	TX	Zip Code	75380
Country	US	Telephone	(972) 367-2001		Fax (972) 367-2002

Name (Print/Type) Duke W. Yee

Registration No. (Attorney/Agent)

34,285

Signature 

Date

10/28/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. AUS990339US3

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

**Peter C. Bahrs, Raphael Poole Chancey, and Manish Mahesh Modh**

For: **Method and Apparatus in a Data Processing System for Systematically Separating Application Graphical User Interface Component Placement From Component Sequencing And Component Creation**

Enclosed are also:

- 153 Pages of Specification including an Abstract
- 86 Pages of Claims
- 136 Sheet(s) of Drawings
- 
- A Declaration and Power of Attorney
- 
- Form PTO 1595 and assignment of the invention to IBM Corporation
- 
- Preliminary Amendment
- 
- Statement Deleting Inventors

**CLAIMS AS FILED**

FOR	Number Filed	Number Extra	Rate	Basic Fee (\$760)
Total Claims	19	-20 = 0	X \$ 18	= \$0.00
Independent Claims	6	-3 = 3	X \$ 78	= \$234.00
Multiple Dependent Claims	0		X \$260	= \$
<b>Total Filing Fee</b>				<b>\$994.00</b>

- Please charge \$994.00 to IBM Corporation, Deposit Account No. 09-0447.
- The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- Any additional filing fees required under 37CFR § 1.16.
- Any patent application processing fees under 37CFR § 1.17.

Respectfully,

*Duke W. Yee*

Duke W. Yee  
 Reg. No. 34,285  
 Carstens, Yee & Cahoon, LLP  
 PO Box 802334  
 Dallas, TX 75380  
 Telephone: (972) 367-2001

## Addendum

1. Method and Apparatus in a Data Processing System for Systematically Separating Application Graphical User Interface Component Placement From Component Sequencing And Component Creation

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Bahrs et al.**

§ Group Art Unit: 2731

Serial No.: **Unknown**

§ Examiner: **Unknown**

Filed: **October 28, 1999**

§ Attorney Docket No.: **AUS990339US3**

§

**For: Method and Apparatus in a Data Processing System for Systematically Separating Application Graphical User Interface Component Placement From Component Sequencing And Component Creation**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**  
I hereby certify this correspondence is being deposited with the United States Postal Service as Express mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on 10/28/99

By:

Jennifer Wright

**DELETION OF PERSONS WHO ARE NOT INVENTORS IN THE  
DIVISIONAL APPLICATION (37 CFR 1.63(d)(2))**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

This statement is made by applicants' representative as listed below. In the original application filed on August 3, 1999, application number 09/366,404, attorney docket AT9-99-339, the following persons were named as inventors in the declaration accompanying the original application:

Peter C. Bahrs, Raphael Poole Chancey, Barry Alan Feigenbaum, Manish Mahesh Modh,  
Sean Michael Sundberg, and John Allen Hubert Woolfrey

In the divisional application for attorney docket number AUS990339US3, the inventorship is different than that from the original application. The following persons should be deleted because they are not inventors of the subject matter of this divisional application:

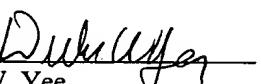
Barry Alan Feigenbaum, Sean Michael Sundberg, and John Allen Hubert Woolfrey

After the deletion of these inventors, the inventors for this divisional application should be as follows:

Peter C. Bahrs, Raphael Poole Chancey, and Manish Mahesh Modh

Respectfully submitted,

Date: 10/28/99

  
Duke W. Yee  
*Registration No. 34,285*  
**CARSTENS, YEE & CAHOON, LLP**  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001  
ATTORNEY FOR APPLICANT